

Indices, criteria ad indicators and their assigned Numerical values

Indices	Criteria	№	Indicators	Answer options and assigned quantitative value
1	2	3	4	5
Social-Environmental Index	Strategic Planning Priority	1	Alignment of a project with the State Regional development strategy	Yes=1, No=0, Not Applicable=1
		2	Alignment of a project with the hromada's strategic development plan	Yes=1, No=0, Not Applicable=1
		3	Availability of a project with the approved programme of integrated reconstruction of the oblast (under the Government's Resolution of 14.10.2022 No 1159)	Yes=1, No=0, Not Applicable=1
		4	Availability of a project with the approved programme of integrated reconstruction of the territorial community (part of it) (under the Government's Resolution of 14.10.2022 No 1159)	Yes=1, No=0, Not Applicable=1
	Alignment with the aid priority	5	Does a project financing include at least 25% share to liquidate damages resulting from hostilities, acts of terrorism, and sabotage, caused by armed aggression of the Russian Federation	Yes=1, No=0
		6	Whether the project financing relates at least by 25% to liquidation of damages resulting from hostilities, acts of terrorism, sabotage, caused by armed aggression of the Russian Federation (e.g., bomb shelters, emergency/alternative water /electricity provision, wastewater treatment plant etc.)	Yes=1, No=0

1	2		4	5
		7	Whether a project is intended for accommodating IDPs, those evacuated from the warzone, and people who lost their property due to Russia's aggression	Yes=1, No=0
	Alignment with sectoral priorities	8	Alignment of a project with a sectoral priority	From 0 and up, if there is no relevant sectoral priority in the list of 1-3, depending on the selected priority. See Annex 2 to these Methodological Recommendations
	Support of hromada's urgent needs	9	Whether the applicant is located on a territory which was liberated after February 24, 2022 from temporary occupation by the Russian Federation and/or on whose territory no active military action is ongoing (subject to limitations of 30 kilometres from the territory of active military hostilities, territories temporarily occupied by the Russian Federation), in accordance with in the decree of the Ministry of Reintegration of Temporarily Occupied Territories of 23 December 2022 No 309 "On Approval of the list of the territories where there are (were) active military action or which are temporarily occupied by the Russian federation"	Yes=1, No=0

Annex 1 continued

1	2	3	4	5
		10	Whether the applicant's 2023 budget dropped by 20% or more compared to 2021 budget adjusted for inflation index (subject to limitations of 30 kilometres from the territory of active military hostilities, or territories temporarily occupied by the Russian Federation)	Yes=1, No=0
	Resettlement required	11	Total number of people requiring resettlement due to the implementation of the project (new construction)	The number multiplied by -1
	Environmental impact	12	Whether the electricity (at least 10%), to be used by the project, is generated from renewables	Yes=1, No=0
		13	Whether the project is implemented in compliance with the generally accepted (national and international) sustainable development /energy efficiency standards (State Construction Norms SCN B.1.2-11:2021 Key requirements to buildings and structures. Energy efficiency and energy saving, SCN B.2.6-31:2021 Heat insulation and energy efficiency)	Yes= 1, No=0
		14	Whether the project qualifies as the type of activity that is considered fully aligned with the Paris Agreement Goals (Annex 6 to these Methodological Recommendations)	If selected activity is from Annex 6 to these Methodical Recommendations = 1, No=0

1	2	3	4	5
		15	Whether a project provides for the removal of green spaces, which may lead to an increase in greenhouse gas emissions defined by the UN Framework Convention on Climate Change (Law of Ukraine "On the Ratification of the UN Framework Convention on Climate Change" (Vidomosti Verkhovna Rada of Ukraine (VVR), 1996, N 50, Article 277)), or whether the project includes other activities that will have a similar effect	Yes= 0, No=1
		16	Whether a project relates to transportation infrastructure and can significantly increase passenger or freight transportation Note: Significant increase refers to at least 10% increase of passenger and /or cargo traffic	Yes= 0, No=1
		17	(heatwaves) Does the project foresee a decrease in air circulation or a decrease in open space, absorption or increase of heat, emissions of light organic compounds, emissions of nitrogen oxides that affect the formation of tropospheric ozone, and/or will the project be under the influence of heat waves that may contribute to an increase in electricity consumption or heat for cooling	Yes= 0, No=1
	Climate change mitigation	18	(drought) Can the project negatively affect water consumption, the availability of aquifers, the flow of rivers, and/or will it contribute to an increase in the temperature of water in reservoirs, an increase in exposure to physical pollutants and toxic substances that may arise as a result of drought, water pollution in reservoirs, an increase in the likelihood of landslides and forest fires	Yes= 0, No=1

Annex 1 continued

1	2	3	4	5
		19	(fires and wildfires) Whether a project design uses materials that can reduce the risk of fires or whether a project	Yes= 0, No=1
		20	(fires and wildfires continued) provides for a reduction in the probability of fires due to the use of less flammable building materials, landscape design, or fire barriers	Yes= 1, No=0
		21	(floods and abnormal showers) Whether a project affects the use of floodplains, the likelihood of natural floods, the holding capacity of the watershed, or will the project contribute to an increase in the level of groundwater	Yes= 0, No=1
		22	(storms and abnormally strong wind) Whether the object of construction under the project are under the risk of destruction as a result of strong wind, squalls, tornadoes or hurricanes	Yes= 0, No=1
		23	(storms and abnormally strong wind continued) Whether the object of construction envisaged by the project, can be destroyed, or the supply of electricity, water supply, heating, and/or telecommunication services to it interrupted as a result of the falling objects close to its location due to strong winds, squalls, tornadoes or hurricanes	Yes= 0, No=1
		24	(landslides) Whether the project site is subject to landslides (including land slope, lack of soil cover, or retaining structures) that may occur under the influence of extreme rainfall.	Yes= 0, No=1

1	2	3	4	5
		25	(sea level rise and coastal erosion) Whether the project is located in an area at risk of sea level rise, or whether storm waves may have a negative impact on the construction facility considered by the project, or is the project located in an area at risk of salt or other harmful emissions to the surface or into the groundwater body, or areas at risk of coastal erosion, which can contribute to the release of pollutants into the water	Yes= 0, No=1
		26	(extremely low temperatures) Whether the project location is under the influence of extremely low temperatures (-20°C and less), which may have a negative effect on the construction facility provided for by the project, or on the supply of electricity, water supply, transport connectivity, and/or the telecommunications network of such a construction facility	Yes= 0, No=1
	Climate change adaptability and resilience	27	Whether a project is located outside the boundaries of the sites of the Nature Reserve Fund of Ukraine (nature reserves, biosphere reserves, national nature parks, regional landscape parks, sanctuaries, protected tracts, botanical gardens, dendrological parks, zoos, natural monuments, parks-monuments of horticultural art, etc.), in accordance with the requirements of the legislation of Ukraine and including the requirements of the UN Convention on the Protection of World Cultural and Natural Heritage)	Yes= 1, No=0

1	2	3	4	5
	Safe and inclusive access	28	Whether a project is within the statutory (including UN Convention on the Protection of World Cultural and Natural Heritage) distance from the closest designated heritage site, including UNESCO sites (monuments of cultural heritage, historical and cultural reserves, historical and cultural protected territories, protected archaeological sites, protection zones, buffer zones, historical areas of human settlements, and cultural world heritage sites, approved by the bodies that, as prescribed by the Law of Ukraine "On protection of cultural heritage" approve scientific design documentation in the area of culture heritage protection, setting restrictions on the use of land within the territories of cultural heritage monuments, historical and cultural reserves, historical and cultural protected areas, protected archaeological sites, protection zones, buffer zones, historical areas of human settlements, and cultural world heritage sites)	Yes= 1, No=0
	Safe and inclusive access	29	Does the project design address physical accessibility and inclusion challenges for children, people with disabilities, older people, parents with strollers, etc. (e.g. wheelchair-accessible entrances, walker-accessible elevators, easy-to-use door handles, etc.) as required under the standards: State Construction Norms (SCN) B.2.2-40:2018 Inclusivity of Buildings and Structures, SCN B.2.3-5:2018 Streets and Roads of the Settlements, SCN Б.2.2-5-2011 Landscaping	Yes= 1, No=0, Not Applicable=1

1	2	3	4	5
		30	Whether the construction object where the project is implemented has an existing civil protection structure to protect the public from the effects of hazards arising from emergencies, hostilities or acts of terrorism with protective properties higher than the minimum required in accordance with SCN B.2.2-5:2023 Civil Protection Structures, or whether the project involves construction (new construction, reconstruction, restoration, or capital repair) or current repair of a civil protection structure to boost its protective properties above the minimum required in accordance with the above-mentioned DBN	Yes= 1, No=0, Not Applicable=1
Financial-Economic Index	Jobs created	31	The number of jobs created after commissioning of the facility to the total cost of the project, th. UAH	The number of jobs created <hr/> Total project cost, th UAH
	Economic benefit	32	Whether a project enables other parts of the economy to continue to function during the war (infrastructure facilities, particularly, related to the provision of water supply and wastewater services, heat and electricity generation and supply) after having recovered from the consequences of armed aggression of the Russian Federation against Ukraine	Yes= 1, No=0
	Innovation potential	33	Whether the project requires any technology new to the local context (innovation element)	Yes= 1, No=0
		34	For public buildings and structures – whether the project provides for high-speed internet connectivity	Yes= 1, No=0, Not Applicable=1

1	2	3	4	5
	The number of beneficiaries of the housing, public buildings and structures	35	The number of beneficiaries to the total cost of the project, th. UAH, for housing and public buildings and structures.	Beneficiaries <hr/> Total cost of the project, th. UAH Not Applicable =1
	The number of beneficiaries of infrastructure, related to the provision of water supply and wastewater services, heat and electricity generation and supply	36	The number of beneficiaries to the total cost of the project, th. UAH, for projects other than indicated above (item 35)	Beneficiaries <hr/> Total cost of the project, th. UAH Not Applicable =1
	Co-financing	37	The portion of the total project cost to be financed by the applicant	<hr/> % from 0 to 100
	Implementation risks	38	Whether the project will require change in the land purpose	Yes=0, No=1
39		Whether the project uses different types of currency for its costs and revenues	Yes=0, No=1	
40		Whether the project requires any land acquisition	Yes=0, No=1	

1	2	3	4	5
		41	Whether the project requires major investment in multiple years (all projects of over 24 months and having a budget equivalent of over \$5M at the official NBU rate as of the submission date of the application)	Yes=0, No=1
		42	Whether there is any contestation over land with communities living around the project area	Yes=0, No=1
		43	Whether the applicant or implementation agency has previous experience in implementing this kind of project	Yes= 1, No=0
		44	<p>Whether the complementary/associated infrastructure and utility services, which are vital for functioning and accessibility to the facility are in place and currently able to provide services</p> <p>Specifically: For housing, health, education, safety shelter, and administrative building - are electricity, gas, heating, and water and wastewater services available and the facility is accessible? For water supply and wastewater services – is electricity available if it is required for operation of the facility; For all types of projects – are motor roads in the understanding of the Law of Ukraine “On motor roads” available to secure logistics</p>	Yes= 1, No=0